



AMENDMENT TRANSMITTAL LETTER

Docket No.
M4065.0608/P608

Application No.
09/988,984

Filing Date
November 19, 2001

Examiner
Not Yet Assigned

Art Unit
2812

Applicant(s): John T. Moore, et al.

Invention: ELECTRODE STRUCTURE FOR USE IN AN INTEGRATED CIRCUIT

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	108	- 76 =	32	x 18.00	576.00
Independent Claims	68	- 52 =	16	x 84.00	1344.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					1920.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.

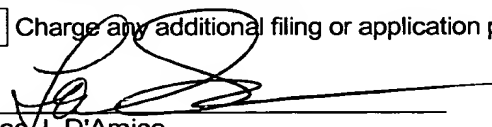
☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☒ Payment by credit card. Form PTO-2038 is attached.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073
as described below. A duplicate copy of this sheet is enclosed.

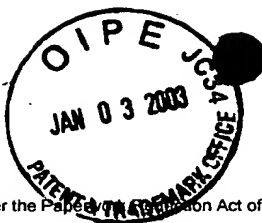
☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.


Thomas J. D'Amico
Attorney Reg. No.: 28,371

Dated: January 3, 2003

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2812
\$

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/988,984
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	November 19, 2001
1920.00		First Named Inventor	John T. Moore
		Examiner Name	Not Yet Assigned
		Group Art Unit	2812
		Attorney Docket No.	M4065.0608/P608
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input type="checkbox"/> Deposit Account		Large Entity Small Entity	
Deposit Account Number 04-1073		Fee Code Fee (\$) Fee Code Fee (\$)	
Deposit Account Name Dickstein Shapiro Morin & Oshinsky LLP		Fee Description	
The Commissioner is hereby authorized to: (check all that apply)		Fee Paid	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments			
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application			
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Small Entity			
Fee Code Fee (\$) Fee Code Fee (\$)			
Fee Description			
Fee Paid			
101 740 201 370 Utility filing fee			
106 330 206 165 Design filing fee			
107 510 207 255 Plant filing fee			
108 740 208 370 Reissue filing fee			
114 160 214 80 Provisional filing fee			
SUBTOTAL (1) (\$)		0.00	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			
Total Claims 108 -76* = 32 x 18.00 = 576.00			
Independent Claims 68 -52** = 16 x 84.00 = 1344.00			
Multiple Dependent			
Large Entity Small Entity			
Fee Code Fee (\$) Fee Code Fee (\$)			
Fee Description			
Fee Paid			
103 18 203 9 Claims in excess of 20			
102 84 202 42 Independent claims in excess of 3			
104 280 204 140 Multiple dependent claim, if not paid			
109 84 209 42 ** Reissue independent claims over original patent			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)		1920.00	
**or number previously paid, if greater; For Reissues, see above			
SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) Thomas J. D'Amico		Registration No. (Attorney/Agent) 28,371	
Signature		Telephone (202) 828-2232	
		Date January 3, 2003	



#7/ file amend
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1/9/03
Vd
Docket No.: M4065.0608/P608
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
John T. Moore, et al.

Application No.: 09/988,984

Prior Group Art Unit: 2812

Filed: November 19, 2001

Prior Examiner: Not Yet Assigned

For: ELECTRODE STRUCTURE FOR USE IN
AN INTEGRATED CIRCUIT

PRELIMINARY AMENDMENT

Box Non-Fee Amendment
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Prior to examination on the merits, please amend the above-identified U.S.
patent application as follows:

In the Claims

Please add new claims 77-108 as follows:

77. A memory cell, comprising:

a first layer of conductive material;

a dielectric layer formed on a surface of said first layer;

an opening formed in said dielectric layer to expose a portion of a surface of said
first layer;

01/06/2003 SSESHE1 00000007 09988984

01 FC:1201
02 FC:1202

1344.00 OP
576.00 OP